

05-23-00



# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **H546.12-0001**Date: **May 22, 2000**First Named Inventor: **Cheryl Henry**Express Mail No.: **EL176998142US****EL176998142US**

## APPLICATION ELEMENTS

## ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [12]
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawings (35 U.S.C. 113) Total Sheets [3]
4. ☒ Oath or Declaration Total Pages [2]
  - a. ☒ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

**[Mark Box 5 below]**

  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to Computer Copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. 3.73(b) Submission  
☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement with  
Copies of Citations as necessary
12. ☐ Preliminary Amendment Total Pages [ ]
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☒ Small Entity Statement(s)  
☐ Statement filed in Prior Application. Status still proper and desired
15. ☐ Certified Copy of Priority document(s)  
(If foreign priority is claimed)
16. ☒ File Data Sheet
17. ☐ Other.

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation    ☐ Division    ☐ Continuation-in-part (CIP)    of prior Application No.

## 19. CORRESPONDENCE ADDRESS

ATTY NAME  
AND REG. NO.**Z. Peter Sawicki;  
30,214**

SIGNATURE:

ADDRESS

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**STATEMENT OF  
SMALL ENTITY STATUS  
(INDEPENDENT INVENTOR)**

Attorney Docket No.

H546.12-0001

First Named Inventor : Cheryl Henry

Title : DATA STORAGE DEVICE READER AND METHOD OF USING SAME

With respect to the invention described in:

X the application filed herewith:

— Application No. \_\_\_\_\_, filed \_\_\_\_\_:

— Patent No. \_\_\_\_\_, issued \_\_\_\_\_:

I. STATEMENT OF QUALIFICATION AS A SMALL ENTITY

I am a below named independent inventor and I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under 35 U.S.C. 41(a) and (b).

II. STATEMENT OF OWNERSHIP

Rights under contract or law remain with me. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, (2) a small business concern under 37 C.F.R. 1.9(d) or (3) a non-profit organization under 37 C.F.R. 1.9(e).

X There is no such person, concern or organization.

— The person(s), concern(s) or organization(s) is listed below:

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY, STATE, ZIP \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Non-Profit Organization

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 C.F.R. 1.28(b).

IV. SIGNATURES

Signature: \_\_\_\_\_

Cheryl Henry

Date: \_\_\_\_\_

5/11/00

00575776-052200

# FEE TRANSMIT

Complete if Known

Application No.

Filing Date

First Named

Cheryl Henry

Group Art Unit

Examiner Name

Atty. Docket

H546.12-0001

16759 U.S. PTO  
09/575776  
05/22/00

Total Amount of Payment: \$ 345.00

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
101	690	201	345	<input checked="" type="checkbox"/> Utility Filing Fee
106	310	206	155	<input type="checkbox"/> Design Filing Fee
108	690	208	345	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$345.00

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	12	20	= 0	x 9 = 0	
Indep.	3	3	= 0	x 39 = 0	
Multiple Dependent Claims			= 0		

\*\*Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent Claim
109	78	209	39	Reissue Independent Claims Over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$0

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	*
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*
139	130	139	130	Non-English specification	*
147	2,520	147	2,520	For Filing a Request for Reexamination	*
115	110	215	55	Extension for reply within first month	*
116	380	216	190	Extension for reply within second month	*
117	870	217	435	Extension for reply within third month	*
118	1,360	218	690	Extension for reply within fourth month	*
128	1,850	280	925	Extension for reply within fifth month	*
120	300	220	150	Filing a brief in support of an appeal	*
121	260	221	130	Request for oral hearing	*
148	110	248	55	Terminal Disclaimer Fee	*
140	110	240	55	Petition to revive - unavoidable	*
141	1,320	241	660	Petition to revive - unintentional	*
142	1,240	242	635	Utility/Reissue issue fee (inc. advance copies)	*
143	460	243	245	Design issue fee (inc. advance copies)	*
122	130	122	130	Petitions to the Commissioner	*
123	50	123	50	Petitions related to provisional applications	*
126	240	126	240	Submission of Information Disclosure Statement	*
581	40	581	40	Recording each patent assignment per property (times number of properties)	*

Other fee (specify) \_\_\_\_\_

Subtotal (3) \$0

Signature

Peter Sawicki

Reg. No.

30,214

Date

May 22, 2000

Deposit Account No.

11-0982